AUCTIONEERS BOARD MEETING MINUTES

The Virginia Auctioneers Board met on April 23, 2014, at the Department of Professional and Occupational Regulation, 9960 Mayland Drive, Richmond, Virginia, with the following members present:

William C. Bryant, III
George Daniel
Travis Lee
Larry Linkous

Board staff present for all or part of the meeting were:

Marian Brooks, Board Administrator Emily Trent, Administrative Assistant

Agency staff present for all or part of the meeting were:

Nick A. Christner, Acting Director

Mark N. Courtney, Senior Director, Regulatory and Public Affairs

Bonnie Rhea-Adams, Director, Complaint Analysis Resolution

Samantha Vrscak, Legal Analyst

Elizabeth Peay, Assistant Attorney General was present from the Office of the Attorney General.

Mr. Bryant, Chair, called the meeting to order at 10:10 a.m.

Call to Order

Mr. Bryant advised the Board of the emergency evacuation procedures.

Emergency Evacuation Procedures

Ms. Brooks welcomed and introduced new Board member, Travis Lee.

Staff members introduced themselves.

Introduction of New Board Member

Ms. Brooks recognized National Auctioneers Day, April 19, 2014.

Recognition of National
Auctioneers Day –
April 19, 2014

Public Comment Period – There were no public comments.

Public Comment

Period

Mr. Linkous moved to approve the agenda. Mr. Daniel seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous.

Approval of Agenda

Auctioneers Board Meeting Minutes April 23, 2014 Page 2 of 4

Mr. Daniel moved to approve the minutes from the following meetings:

- **Approval of Minutes**
- October 17, 2013, Auctioneers Board Meeting
- January 15, 2014, Auctioneers Board Meeting

Mr. Linkous seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous.

Mr. Linkous recused himself from the meeting.

Mr. Bryant was recused from the meeting and Mr. Daniel took over as Chair.

Recused

Transfer of Chair

Regarding File Number 2013-02519, Larry Linkous, Board members reviewed the Consent Order as seen and agreed to by Mr. Linkous. Mr. Lee moved to accept the Consent Order which cites the following violations of the Board's regulations: 18VAC25-21-110.A (Count 1); and 18VAC25-21-180.4 (Count 2). For these violations, Mr. Linkous agrees to the following sanctions: \$150.00 for the violation contained in Count 1; \$100.00 for the violation contained in Count 2; and \$150.00 in Board costs, for a total monetary penalty of \$400.00. Mr. Daniel seconded the motion which was unanimously approved by members: Daniel and Lee. As the presiding board member, Mr. Bryant and was not present for the discussion or vote.

File Number 2013-02519, Larry Linkous

Mr. Linkous and Mr. Bryant returned to the meeting.

Return of Mr. Linkous & Mr. Bryant

Regarding File Number 2014-01353, Neal Stanley King, Sr., the Board members reviewed the record of the Informal Fact-Finding Conference, which consisted of the application, transcripts and exhibits, and the Summary of the Informal Fact-Finding Conference and the presiding officer's recommendation. Mr. Linkous moved to reject the recommendation of the presiding officer and deny Mr. King's application for licensure. Mr. Lee seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous. Former Board member, Samuel "Buddy" Updike was the presiding officer, and was not present for the meeting.

File Number 2014-01353, Neal Stanley King, Sr.

Regarding File Number 2014-01354, Harold Jackson, the Board members reviewed the record of the Informal Fact-Finding Conference, which consisted of the application, transcripts and exhibits, and the Summary of the Informal Fact-Finding Conference and the presiding officer's recommendation. Mr. Daniel moved to accept the recommendation of the presiding officer and approve Mr. Jackson's application for licensure. Mr. Linkous seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous.

File Number 2014-01354, Harold Jackson Auctioneers Board Meeting Minutes April 23, 2014 Page 3 of 4

Former Board member, Samuel "Buddy" Updike was the presiding officer, and was not present for the meeting.

Regarding File Number 2013-02177, Charles Lawrence Parsons, Board members reviewed the Consent Order as seen and agreed to by Mr. Parsons. Mr. Daniel moved to accept the Consent Order which cites the following violation of the Board's regulations: 18VAC25-21-170.A (Count 1). For this violation, Mr. Parsons agrees to the following sanctions: \$250.00 for the violation contained in Count 1; and \$150.00 in Board costs, for a total monetary penalty of \$400.00. Mr. Linkous seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous. Former Board member, Samuel "Buddy" Updike was the presiding officer, and was not present for the meeting.

File Number 2013-02177, Charles Lawrence Parsons

Regarding File Number 2013-03017, Concierge Auctions, LLC, Board members reviewed the Consent Order as seen and agreed to by Laura Brady, Managing Director, Concierge Auctions, LLC. Mr. Daniel moved to accept the Consent Order which cites the following violation of the Board's regulations: 18VAC25-21-110.A (Count 1). For this violation, Concierge Auctions, LLC, agrees to the following sanctions: \$500.00 for the violation contained in Count 1; and \$150.00 in Board costs, for a total monetary penalty of \$650.00. Mr. Lee seconded the motion which was unanimously approved by members: Bryant, Daniel and Lee. As the presiding Board member, Mr. Linkous was not present for the discussion or vote.

File Number 2013-03017, Concierge Auctions, LLC

Board members reviewed the comments received during the December 2, 2013-January, 31, 2014, Public Comment period on the proposed regulations. Discussion was held on the board response to the comments. Mr. Linkous moved to adopt the responses prepared by staff as amended. Mr. Lee seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous.

Regulatory Update

Mr. Linkous moved to adopt the proposed regulations as final regulations. Mr. Daniel seconded the motion which was unanimously approved by members: Bryant, Daniel, Lee and Linkous.

Board members were provided a comprehensive report of enforcement activity for informational purposes.

Review of Compliance and Investigations Division Activity

Board members were provided financial statements for informational purposes.

Review of Board Financial Statements

Ms. Brooks stated that election of Chair and Vice-Chair would be held at the next Board meeting.

Other Business

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Conflict of Interest forms were completed by all members present.

Conflict of Interest

Forms

There being no further business, the meeting was adjourned at

11:17 a.m.

Adjourn

William C. Bryant All.

Nick A Christner, Acting Secretary

| 1. | Name: | George A. Daniel | | | | | |
|----|--|------------------------------|---------|--|--|--|--|
| 2. | Title: | Board Member | | | | | |
| 3. | Agency: | Auctioneers Board | | | | | |
| 4. | Meeting/IFF Date: | Board Meeting April 23, 2014 | | | | | |
| 5. | I have a personal interest in the following transaction: | | | | | | |
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| | Nature of Personal Interest Affected by Transaction: | | | | | | |
| | | | | | | | |
| | I declare that I am a member of the following business, profession, occupation or group, the members of which are affected by the transaction: | | | | | | |
| | | | | | | | |
| | am able to participate in this transaction fairly, objectively, and in the public interest. | | | | | | |
| | or | | | | | | |
| | ☐ I did not participate in the transaction. | | | | | | |
| 6. | do not have a personal interest in any transactions taken at this meeting. | | | | | | |
| | Deale AP | anu | 4-23-14 | | | | |
| | Signature | | Date | | | | |

| 1. | Name: | Travis Lee | | | | |
|----|--|------------------------------|--|--|--|--|
| 2. | Title: | Board Member | | | | |
| 3. | Agency: | Auctioneers Board | | | | |
| 4. | Meeting/IFF Date: | Board Meeting April 23, 2014 | | | | |
| 5. | I have a personal interest in the following transaction: | | | | | |
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| | Nature of Personal Interest Affected by Transaction: | | | | | |
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| | I declare that I am a member of the following business, profession, occupation or group, the members of which are affected by the transaction: | | | | | |
| | I am able to participate in this transaction fairly, objectively, and in public interest. | | | | | |
| | I did not participate in the transaction. | | | | | |
| | and the participate in the transaction. | | | | | |
| 6. | I do not have a personal interest in any transactions taken at this meeting. | | | | | |
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| do not have a personal interest in any transactions taken at this meeting. | | | |
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| 1. | Name: | Larry Linkous | | | |
|----|--|------------------------------|-------|--|--|
| 2. | Title: | Board Member | | | |
| 3. | Agency: | Auctioneers Board | | | |
| 4. | Meeting/IFF Date: | Board Meeting April 23, 2014 | | | |
| | I have a personal interest in the following transaction: File # 2013 - 1891 02519 | | | | |
| | | | | | |
| | Nature of Personal Interest Affected by Transaction: | | | | |
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| | I declare that I am a member of the following business, profession, occupation or group, the members of which are affected by the transaction: | | | | |
| | | | | | |
| | am able to participate in this transaction fairly, objectively, and in the public interest. | | | | |
| | or | | | | |
| | I did not participate in the transaction. | | | | |
| 6. | I do not have a personal interest in any transactions taken at this meeting. | | | | |
| | | 4. | 22-14 | | |
| | Signature | Date | | | |